



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/768,817	01/25/2001	Tony A. Plate	9392-043-999

20582  
PENNIE & EDMONDS LLP  
1667 K STREET NW  
SUITE 1000  
WASHINGTON, DC 20006

CONFIRMATION NO. 1321

## FORMALITIES LETTER



\*OC000000005839633\*

Date Mailed: 03/08/2001



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$216.
  - \$216 for 12 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1056.**

*A copy of this notice **MUST** be returned with the reply.*

*Zenebed Nelson*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/10/2001 DTESSEH1 00000092 161150 09768817

01 FC:101	710.00 CH
02 FC:105	130.00 CH
03 FC:103	216.00 CH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Tony A. PLATE et al.

Application No.: 09/768,817

Group Art Unit: 2161

Filed: January 25, 2001

Examiner: To Be Assigned

For: A METHOD AND SYSTEM FOR  
MATCHING BIDS

Attorney Docket No.: 9392-043-999



**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

Assistant Commissioner for Patents  
**BOX MISSING PARTS**  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application, mailed by the U.S. Patent and Trademark Office on March 8, 2001, Applicants submit the executed Declaration and Power of Attorney by Assignee for the above-identified application. A copy of the Notice is attached.

A total fee of \$1,056.00 is believed to be due for this submission. This includes the basic filing fee of \$710, the extra claims fee of \$216, and \$130 for the late filing fee.

Please charge the required fee of \$1,056.00 to Pennie & Edmonds LLP's Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

Date May 9, 2001

For: Gregory J. Gonsalves (Reg. No. 43,639)  
Francis E. Morris (Reg. No. 24,615)

**PENNIE & EDMONDS LLP**  
1667 K Street, N.W.  
Washington, DC 20006  
(202) 496-4400

Enclosures



RECEIPT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
SEP 07 2001  
Technology Center 2100

Application of: Tony A. PLATE et al.

Application No.: 09/768,817

Group Art Unit: 2161

Filed: January 25, 2001

Examiner: To Be Assigned

For: A METHOD AND SYSTEM FOR  
MATCHING BIDS

Attorney Docket No.: 9392-043-999

**REQUEST FOR CORRECTED FILING RECEIPT**

Assistant Commissioner for Patents  
**Office of Initial Patent Examination**  
Customer Service Center  
Washington, D.C. 20231

Sir:

Enclosed is a marked-up copy of the Official Filing (OFR) received in the above-identified application.

The second inventor's surname on the OFR is incorrectly given as:  
"Macdonald". The correct spelling of his surname should read --MacDonald--.

A corrected filing receipt is requested. No fee is believed to be due for this submission. Should the Patent Office deem otherwise, the Commissioner is authorized to charge any such fee to Pennie & Edmonds LLP's deposit account no. 16-1150.

Respectfully submitted,

Date May 9, 2001

For: Gregory J. Gonsalves (Reg. No. 43,639)  
Francis E. Morris (Reg. No. 24,615)

**PENNIE & EDMONDS LLP**  
1667 K Street, N.W.  
Washington, DC 20006  
(202) 496-4400

Enclosure



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/768,817	01/25/2001	2161	0.00	9392-043-999	7	32	3

CONFIRMATION NO. 1321

20582  
PENNIE & EDMONDS LLP  
1667 K STREET NW  
SUITE 1000  
WASHINGTON, DC 20006

## FILING RECEIPT



\*OC000000005839632\*

Date Mailed: 03/08/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Tony A. Plate, Santa Fe, NM;  
Robert R. Macdonald, Santa Fe, NM;  
*MacDonald*

RECEIVED

## Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/177,926 01/25/2000  
AND CLAIMS BENEFIT OF 60/177,927 01/25/2000

SEP 07 2001

Technology Center 2100

## Foreign Applications

If Required, Foreign Filing License Granted 03/07/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

656

## Title

Method and system for matching bids

MAR 12 2001

Preliminary Class  
705

Data entry by : PETROS, ZENEBECH

Team : OIPE

Date: 03/08/2001



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231